

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
Center for Consumer Information & Insurance Oversight  
200 Independence Avenue SW  
Washington, DC 20201



September 7, 2021

**VIA ELECTRONIC MAIL:** [eric.a.cioppa@maine.gov](mailto:eric.a.cioppa@maine.gov)

Eric Cioppa  
Superintendent of Insurance  
Maine Bureau of Insurance  
#34 State House Station  
Augusta, ME 04333

Dear Superintendent Cioppa:

This letter is to notify you that, in part due to the American Rescue Plan (ARP) Act of 2021, Maine will receive a total of \$39,315,869 in pass-through funding to implement its State Innovation Waiver under the Affordable Care Act (ACA) for calendar year 2021.

As you are aware, on July 30, 2018, the Department of Health & Human Services and the Department of the Treasury (the Departments) approved Maine's State Innovation Waiver under Section 1332 of the ACA. Maine's approved application waives the ACA's requirement for the single risk pool to the extent necessary to implement a state reinsurance program called the Maine Guaranteed Access Reinsurance Association for plan years 2019 through 2023. On April 21, 2021, the Departments notified Maine that they had determined that Maine's pass-through funding amount for calendar year 2021 was \$30,753,631. This determination reflected federal law as of March 10, 2021, prior to the passage of the ARP. Among other changes, the ARP temporarily expanded the ACA's premium tax credit (PTC), increasing the generosity of the PTC for those who were already eligible under the ACA and making PTCs available to individuals with incomes above 400 percent of the federal poverty level for the first time.

The Departments have now completed their analysis of the effects of the ARP on pass-through funding. The Department of the Treasury's final administrative determination for Maine's total pass-through funding for calendar year 2021, after accounting for the ARP, is \$39,315,869. As a result, Maine will receive \$8,562,238 more in pass-through funding than the amount specified in the Departments' April letter.

We look forward to continuing to work with you and your staff. If you have any questions regarding program matters or official correspondence concerning the waiver, please contact Lina Rashid at [Lina.Rashid@cms.hhs.gov](mailto:Lina.Rashid@cms.hhs.gov) or [stateinnovationwaivers@cms.hhs.gov](mailto:stateinnovationwaivers@cms.hhs.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Ellen Montz". The signature is written in a cursive style with a large, sweeping flourish at the end.

Ellen Montz  
Director  
Center for Consumer Information and Insurance Oversight (CCIIO)

Cc:

Mark Mazur, Deputy Assistant Secretary for Tax Policy, U.S. Department of the  
Treasury  
The Honorable Janet Mills, Governor, State of Maine  
Marti Hooper, Life and Health Actuary, Maine Bureau of Insurance  
Holly Doherty, Staff Attorney, Maine Bureau of Insurance

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
Center for Consumer Information & Insurance Oversight  
200 Independence Avenue SW  
Washington, DC 20201



April 21, 2021

**VIA ELECTRONIC MAIL:** [eric.a.cioppa@maine.gov](mailto:eric.a.cioppa@maine.gov)

Eric Cioppa  
Superintendent of Insurance  
Maine Bureau of Insurance  
#34 State House Station  
Augusta, ME 04333

Dear Superintendent Cioppa:

On July 30, 2018, the Department of Health & Human Services and the Department of the Treasury approved Maine's State Innovation Waiver under Section 1332 of the Affordable Care Act (ACA). Maine's approved application waives the ACA's requirement for the single risk pool in order to implement a state reinsurance program called the Maine Guaranteed Access Reinsurance Association for plan years 2019 through 2023. It is the Department of the Treasury's final administrative determination that Maine's pass-through funding amount for calendar year 2021 is \$30,753,631. This determination reflects federal law as of March 10, 2021. For information on how the American Rescue Plan Act of 2021 may impact pass-through funding, please see here.<sup>1</sup>

If you have any questions regarding program matters or official correspondence concerning the waiver, please contact Lina Rashid at [Lina.Rashid@cms.hhs.gov](mailto:Lina.Rashid@cms.hhs.gov) or [stateinnovationwaivers@cms.hhs.gov](mailto:stateinnovationwaivers@cms.hhs.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Jeffrey Grant", is written over a horizontal line.

Jeffrey Grant  
Acting Director, Center for Consumer Information and Insurance Oversight (CCIIO)  
Centers for Medicare & Medicaid Services (CMS)

Cc:

Mark Mazur, Deputy Assistant Secretary for Tax Policy, U.S. Department of the Treasury  
The Honorable Janet Mills, Governor, State of Maine

---

<sup>1</sup> [https://www.cms.gov/CCIIO/Programs-and-Initiatives/State-Innovation-Waivers/Section\\_1332\\_State\\_Innovation\\_Waivers-#pass-through](https://www.cms.gov/CCIIO/Programs-and-Initiatives/State-Innovation-Waivers/Section_1332_State_Innovation_Waivers-#pass-through)

Marti Hooper, Life and Health Actuary, Maine Bureau of Insurance  
Holly Doherty, Staff Attorney, Maine Bureau of Insurance

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
Center for Consumer Information & Insurance Oversight  
200 Independence Avenue SW  
Washington, DC 20201



February 25, 2021

**VIA ELECTRONIC MAIL:** [eric.a.cioppa@maine.gov](mailto:eric.a.cioppa@maine.gov)

Eric Cioppa  
Superintendent of Insurance  
Maine Bureau of Insurance  
#34 State House Station  
Augusta, ME 04333

Dear Superintendent Cioppa:

On July 30, 2018, the Department of Health & Human Services and the Department of the Treasury approved Maine's State Innovation Waiver under Section 1332 of the Patient Protection and Affordable Care Act (PPACA). Maine's application sought to waive PPACA's requirement for the single risk pool in order to implement a state reinsurance program called the Maine Guaranteed Access Reinsurance Association for plan years 2019 through 2023. The pass-through funding associated with this waiver for calendar year 2021 is estimated to be \$30,753,631. This amount will be subject to a final administrative determination by the Department of the Treasury prior to payment.

If you have any questions regarding program matters or official correspondence concerning the waiver, please contact Lina Rashid at [Lina.Rashid@cms.hhs.gov](mailto:Lina.Rashid@cms.hhs.gov), or [stateinnovationwaivers@cms.hhs.gov](mailto:stateinnovationwaivers@cms.hhs.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Jeffrey Grant", is positioned above the typed name.

Jeffrey Grant  
Acting Director, Center for Consumer Information and Insurance Oversight

Cc:

Mark Mazur, Deputy Assistant Secretary for Tax Policy, U.S. Department of the Treasury  
The Honorable Janet Mills, Governor, State of Maine  
Marti Hooper, Life and Health Actuary, Maine Bureau of Insurance  
Holly Doherty, Staff Attorney, Maine Bureau of Insurance