

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
Center for Consumer Information and Insurance Oversight  
200 Independence Avenue SW  
Washington, DC 20201



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July 15, 2019

**VIA ELECTRONIC MAIL:** [Zachary.Sherman@exchange.ri.gov](mailto:Zachary.Sherman@exchange.ri.gov); [Marie.Ganim@ohic.ri.gov](mailto:Marie.Ganim@ohic.ri.gov)

Zachary W. Sherman  
Director  
HealthSource RI  
501 Wampanoag Trail  
East Providence, RI 02915

Dr. Marie Ganim  
Health Insurance Commissioner  
Office of the Health Insurance Commissioner  
1511 Pontiac Ave, Building #69 1<sup>st</sup> Floor  
Cranston, RI 02920

Dear Director Sherman & Commissioner Ganim:

Thank you for your submission on July 8, 2019 of Rhode Island's application for a State Innovation Waiver under section 1332 of the Patient Protection and Affordable Care Act (PPACA). Rhode Island seeks to waive certain PPACA requirements and implement a state reinsurance program for plan years 2020 through 2024. The Department of Health and Human Services and the Department of the Treasury (collectively, the Departments) have completed a preliminary review of the application in accordance with 45 CFR 155.1308(c) and 31 CFR 33.108(c), and we have made a preliminary determination that Rhode Island's application is complete.

Pursuant to 45 CFR 155.1308(d) and 31 CFR 33.108(d), the date of this letter marks the beginning of the Federal public notice process and 180-day Federal decision-making period. Public comments on Rhode Island's application will be accepted by the Departments from July 15, 2019 through August 14, 2019, and more information about the Federal public notice process and section 1332 waivers generally can be found on the CCIIO website.<sup>1</sup> The decision of the Departments regarding approval or denial of Rhode Island's application will be issued within 180 days of this preliminary determination of completeness in accordance with 45 CFR 155.1316(c), 31 CFR 33.116(c), and other applicable regulations.

We look forward to working with you on your application and will be in touch if we need additional information. Please do not hesitate to contact us if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Randy Pate", is written over a white rectangular area.

Randy Pate  
Director, Center for Consumer Information & Insurance Oversight  
Deputy Administrator, Centers for Medicare & Medicaid Services

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<sup>1</sup> [https://www.cms.gov/CCIIO/Programs-and-Initiatives/State-Innovation-Waivers/Section\\_1332\\_state\\_Innovation\\_Waivers.html](https://www.cms.gov/CCIIO/Programs-and-Initiatives/State-Innovation-Waivers/Section_1332_state_Innovation_Waivers.html)

Cc: David Kautter, Assistant Secretary for Tax Policy, U.S. Department of the Treasury  
Gina M. Raimondo, Governor, State of Rhode Island and Providence Plantations